

BEST AVAILABLE COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Wellington, et al.	§	Group Art Unit:	Not Yet Assigned
Serial No.:	10/056,121	§	Examiner:	Not Yet Assigned
Filed:	01/23/2002	§	Atty. Docket No.:	SWRI-2835-04
Title:	Novel Mesogens			

BOX NON FEE AMENDMENT

Commissioner of Patents and Trademarks
Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

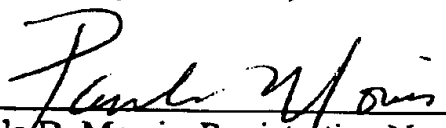
Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the references listed on the attached Form PTO-1449 be considered by the Examiner and made of record.

This Supplemental Information Disclosure Statement is not to be considered as a representation that a search has been made or that no other material information as defined under 37 C.F.R. § 1.56 exists.

The commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-0997 (SWRI-2835-04), maintained by Paula D. Morris & Associates, P.C..

Respectfully submitted,



Paula D. Morris, Registration No. 31,516
Paula D. Morris & Associates, PC
2925 Briarpark Drive, Suite 930
Houston, Texas 77042-3728
Telephone: (713) 334-5151
Facsimile: (713) 334-5157

BEST AVAILABLE COPY

PAULA D. MORRIS & ASSOCIATES, P.C.

INTELLECTUAL PROPERTY INCLUDING PATENT, TRADEMARK
COPYRIGHT LAW, UNFAIR COMPETITION AND RELATED MATTERS
10260 WESTHEIMER, SUITE 360
HOUSTON, TEXAS 77042

TELEPHONE: (713) 334-5151
FACSIMILE: (713) 334-5157

FAX RECEIVED

AUG 01 2003

GROUP 1600

FACSIMILE COVER SHEET

DATE: July 31, 2003
FOR: Examiner Paul Killos
COMPANY OR FIRM: U. S. Patent and Trademark Office
FACSIMILE NO: (703) 308-4556
FROM: Ann Marie Alaniz for Paula Morris
RE: 10/056,121

OFFICIAL**NUMBER OF PAGES INCLUDING THIS COVER SHEET: 6****IF ANY OF THESE PAGES ARE NOT RECEIVED, PLEASE CALL (713) 334-5151.**

Dear Examiner Killos,

Please find attached a copy of the Information Disclosure Statement filed on January 30, 2003 per your request. Please feel free to contact our office if you require additional assistance.

Thank you,
Ann Marie Alaniz
Legal Assistant to Paula Morris

CONFIDENTIALITY NOTICE

The documents included in this facsimile may contain information that is confidential or privileged, or both, and is intended only for the use of the individual or entity named on this facsimile cover letter. Any disclosure, copying, distribution, dissemination, or use of this information by any person other than the intended recipient is prohibited. If you have received this facsimile in error, please notify us by collect telephone call at (713) 334-5151 so that we can arrange for either the retrieval of the transmitted documents at no cost to you, or destruction of the transmitted documents.

BEST AVAILABLE COPY

Please type a plus sign (+) inside this box → ☐

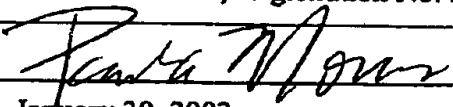
PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0551-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/056,121	
	Filing Date	January 23, 2002	
	First Named Inventor	Wellinghoff et al.	
	Group Art Unit	Not Yet Assigned	
	Examiner Name	Not Yet Assigned	
Total Number of Pages In This Submission	4+	Attorney Docket Number	SWRI-2835-04

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1) 14 References;
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement	<input type="checkbox"/> Request for Refund	2) Certificate of transmission under 37 CFR 1.10
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	The Commissioner is hereby authorized to charge any additional fees or credit any overpayments to Deposit Account No. 50-0997 (SWRI 2835-04), maintained by Paula D. Morris & Associates, P.C.	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Paula D. Morris, Registration No. 31,516
Signature	
Date	January 30, 2003

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <input type="text"/>		
Typed or printed name	Please see attached certificate of transmission under 37 CFR 1.10	
Signature	<input type="text"/>	Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

EV207818815US

BEST AVAILABLE COPY

Certificate of Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service, under 37 CFR 1.10 on the date indicated below addressed to:

BOX NON FEE AMENDMENT
Assistant Commissioner for Patents
Washington D.C. 20231

on January 30, 2003
Date

EV 207818815 US
Express Mail Label No.

Ann Marie Alaniz
Signature

Ann Marie Alaniz

Typed or Printed Name

Note: Each paper must have its own certificate of Mailing or this certificate must identify each submitted paper

Transmittal form, Supplemental Information Disclosure Statement, PTO Form 1449, 14 References and return postcard

Applicant:	<u>Wellinghoff & Hanson</u>	Group Art Unit:	<u>Not Yet Assigned</u>
Serial No.:	<u>10/056,121</u>	Examiner:	<u>Not Yet Assigned</u>
Filing Date:	<u>January 23, 2002</u>	Atty. Docket No.:	<u>SWRI-2835-04</u>
Title:	<u>Novel Mesogens</u>		

BEST AVAILABLE COPY

Customer Copy
Label 11/1/00

EXPRESS MAIL

EV 207818815 US

ORIGIN (POSTAL USE ONLY)

PO ZIP Code: 77001
Day of Delivery: 1/23/03
Time: 6:25 PM
Flat Rate Envelope: ☒
Postage: \$36.20
Return Receipt Fee: ☐
COD Fee: ☐
Insurance Fee: ☐
Total Postage & Fees: \$36.20

DELIVERY (POSTAL USE ONLY)

Delivery Attempt: ☐
Time: ☐ AM ☐ PM
Employee Signature: _____
Delivery Attempt: ☐
Time: ☐ AM ☐ PM
Employee Signature: _____
Delivery Date: ☐
Time: ☐ AM ☐ PM
Employee Signature: _____

FROM: (PLEASE PRINT)
PAULA D. MORRIS & ASSOCIATES
2925 BRIARPARK DR STE 930
HOUSTON TX 77057
PHONE: (713) 334-5157

TO: (PLEASE PRINT)
BARBARA JORDAN
COMMISSIONER OF PATENTS
AND TRADEMARKS
WASHINGTON DC 20231-9999
PHONE: (202) 291-9999

POSTAGE
\$36.20

FOR PICKUP OR TRACKING CALL 1-800-222-1811 www.usps.com

DOCKET No.: SWI 2835-04
SERIAL No.: D/0561.121
FILING DATE: JAN 23, 2002
PATENT No.: 1625
ISSUE DATE:
APPLICANT: Dellinghoff et al.
TITLE:

NOVEL MESOGENS

The U.S. Patent & Trademark Office acknowledges receipt of and has stamped hereon the date of receipt of the items checked below, which were mailed as stated.

☐ Patent Application: ☐ Specification pages; ☐ Abstract pages

☐ ☐ Claims pages; ☐ Sheets of Formal Drawing(s)

☐ Fee Transmittal (PTO/SB/17)

☐ Assignment and Recordation Cover Sheet

☐ Certificate of Correction

☐ Declaration

☒ Information Disclosure Statement (Form 1449) ☒ Yes or ☐ No

☐ attached. Supplemental ☒ Yes or ☐ No

☐ Issue Fee; ☐ Fee for Advance Copy(ies)

☐ Power of Attorney

☐ Request for Continued Examination (RCE)

☐ Request for Extension of Time ☐ 1 mo; ☐ 2 mo; ☐ 3 mo

☐ Petition to:

☐ Response to:

☐ Marked Up Copy of:

☐ Statement Charge Deposit Account 50-0997 and indicate our

Reference No. SWI 2835-04

☐ Check # _____ in the amount of \$ _____

☐ Other: _____

Express Mail Label No. EV 207818815 US

Mailing Date 01-30-03